



**Illinois SWCD Summer
Conference
July 19th, 2022**

Grant Accountability and Transparency Act

Purpose:

The purpose of this Act is to establish uniform administrative requirements, cost principles, and audit requirements for State and federal pass-through awards to non-federal entities. This Act and the rules adopted under this Act provide the basis for a systematic and periodic collection and uniform submission to the Governor's Office of Management and Budget (GOMB) of information of all State and federal financial assistance programs by state grant-making agencies. This Act also establishes policies related to the delivery of this information to the public, including through the use of electronic media.

Illinois GATA Grantee Portal

- The Grantee portal is the core for Illinois' centralized grant management functions
- All data used during registration has automated the qualification verification
- Communication is improved through the grantee portal as everything again has been automated

Grantee Portal – Core for Centralized Grant Processing

- All centralized grant processing runs through the Grantee Portal
- The Portal is segmented for ease of use
 - Organization Information
 - Pre-Qualification Status
 - Fiscal and Administrative Internal Control Questionnaire
 - Indirect Cost Rate
 - Notice of State Award (NOSA)
 - Audit Report Reviews
 - Grantee Portal Access / Organization Contacts
- Each entity controls who accesses the organization's Portal
- Designated individuals receive emails when a change or update is made to their organization's Portal

Pre-Award Requirements

There are five grantee pre-award requirements:

1. Authentication
2. Grantee Registration
3. Grantee Pre-qualification
4. Fiscal and Administrative Risk Assessment
5. Programmatic Risk Assessment

These grantee pre-award requirements are mandated by Federal Uniform Guidance (2 CFR 200) and the Grant Accountability and Transparency Act (GATA). Grantees must complete these requirements prior to receiving a grant award from the State of Illinois.

Authentication

- Effective FY18, all entities must complete cyber security through the Illinois.gov Public Authentication Portal
 - “Authentication” establishes a username and password for the individual associated with an organization
 - All individuals utilizing the grantee portal must “authenticate”
 - A personal, work-related (not shared) email address should be used

Authentication (cont.)

The Grantee Portal utilizes the Illinois.gov Authentication Portal. A personal or business email address is required to establish an account. Authentication verifies the relationship between an individual and the organization they represent. Authentication is performed once for each individual associated with a registration.

To begin registration: <https://grants.illinois.gov/portal>

To obtain an account click the “Create a new account” link below the “Sign in” button.

ILLINOIS.gov

Authentication Portal

Sign in with your Public account

Sign in

- [Reset your password](#)
- [Recover your Username](#)
- [Create a new account](#)



© 2016 Microsoft [Privacy](#) [Help](#)

Registration

- Sign-in ID and password connects the individual to the organization they represent
- Enter the organization's Dun and Bradstreet Number (DUNS)
- If registered prior to FY18, data is returned for verification' update if necessary
- If not previously registered, complete all data fields:
 - DUNS
 - Universal Identification Number (UIN)
 - Organization type; Secretary o State File ID (if required)
 - Organization contact information
 - Fiscal year-end
 - Does your organization have 2 or more years experience with an Illinois grant?

Illinois Grant Accountability and Transparency Act Grantee Portal

Welcome to the Illinois GATA Grantee Portal. To access the Portal you must have an Illinois.gov account.

Sign In
Here

Create
Account

Account
Help

Frequently Asked Questions

- [How to access the Illinois GATA Grantee Portal.](#)
- [How to create an Illinois.gov public account.](#)
- [How to reset your password.](#)
- [All Grantee Portal FAQs](#)

Department of Human Services (DHS) Centralized Repository Vault (CRV) Users

If you have a DHS CRV account or an Illinois.gov partner account in the external domain, you can use it to access the Grantee Portal but you must append "**@external.illinois.gov**" to the end of your username.

For example: **John.Doe@external.illinois.gov**

For assistance with your CRV account please [click here](#).

Authentication is a one-time requirement

Illinois Grant Accountability and Transparency Act Grantee Portal - Registration

All fields are required except those labeled 'Optional'.

Organization DUNS

Organization FEIN

Organization Type

Illinois Secretary of State File ID

[Illinois Secretary of State](#)

Organization Name

Organization Address 1

Organization Address 2 *(Optional)*

City

State

Zip Code

Primary Email

Primary Phone

Does this organization have 2 or more years experience with Illinois grants? ☒ **Yes** ☐ **No**

Fiscal Year End Date: 06/30

Pre-qualification

- Pre-qualification automates 7 verifications:
 - Federal confirmation of a valid DUNS, associated UEI, active SAM.gov account, not on the SAM.gov Exclusion list
 - State confirmation of Secretary of State good standing, not on the Illinois Stop payment list, not on the HFS sanction list
- Federal requirements mandate entities have an active SAM.gov account, www.SAM.gov
 - SAM.gov is a federal clearing house that performs various validations
 - A SAM.gov account must be validated annually

Pre-qualification (cont.)

- Organization type is entered at Registration
- Nonprofits, for profits and Limited Liability Corporations (LLCs) are required to register with the Illinois Secretary of State (SOS)
 - If these organization types are selected, a File ID is required
 - SOS Good Standing is checked based on the File ID
- Illinois Stop Payment List reports entities out of compliance with grant requirements per the Grantee Compliance Enforcement System
 - Correct the noncompliance issue with the applicable state agency to be removed from the list
- HFS Sanction List, maintained by the Department of Health and Family Services, reports entities with an agency-level violation
- Email alerts the organization if Qualified status changes

Pre-qualification (cont.)

- Grantee Portal summarizes statuses from SAM.gov, SOS, Illinois Stop Payment List and HFS Sanction List
- If status is not “GOOD”, online HELP provides an explanation and a link to correct the issue
- All 7 entries must be “GOOD” to be Qualified
- Organization must be Qualified to be eligible to apply for a grant
- Only the SAM.gov Exclusion List cannot be remediated
- Status is checked at registration and nightly thereafter
- Email alerts the organization if Qualified status changes

Pre-Qualification Status

Requirement	Status	Remediation
SAM.gov Account	Good	Help
Federal Employer ID (FEIN)	Good	Help
Federal Excluded Parties List	Good	Help
Illinois Secretary of State	Good	Help
Illinois Stop Payment List	Good	Help
Illinois DHFS Sanction List	Good	Help

Your organization must remain in good standing in all pre-qualification requirements. The status of these requirements are validated nightly. Notifications when changes occur to the pre-qualification status can be emailed to Grantee Portal Access users by clicking the "Manage" button below.

Registration must be verified annually for each organization

Fiscal and Administrative Risk Assessment

- The same fiscal and administrative controls apply to all programs
- The Fiscal and Administrative risk assessment is centralized, and the grantee risk profile is shared with state grant making agencies
 - Internal Control Questionnaire (ICQ) is completed once annually by the grantee through the grantee portal

Programmatic Risk Assessment

- Programmatic controls are program-specific
- The Programmatic risk assessment is conducted by the state awarding agency to evaluate applicant's ability to execute the program for which they are applying
- Limited program experience, protocols and internal controls governing program delivery increase an applicant's programmatic risk profile
- Programmatic risk assessment questions assess the applicant's ability to successfully execute the specified program
- The programmatic risk profile may require additional conditions within the grant award to develop the entity's capacity to deliver and/or administer the grant program

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